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Daniel G. Radler  
Daniel G. Radler, Reg. No. 43,028



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Edward J. Gillette et al. Art Unit: --  
Appln. No.: 10/765,373 Examiner: --  
Filed: January 27, 2004 Docket No. 180022.00002  
For: METHOD AND APPARATUS FOR CASE HARDENING A WORK PIECE

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### INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§1.97 and 1.98

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Commissioner for Patents  
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Sir:

The enclosed documents are being submitted in compliance with 37 C.F.R. 1.97 and 1.98. A form PTO-1449 "Information Disclosure Statement by Applicant" is attached which lists the references cited and copies of the references are enclosed herewith.

No additional fees for filing this paper are believed to be due. However, the Commissioner is hereby authorized to charge any additional fees due or to credit any overpayment to Deposit Account No. 17-0055.

Respectfully submitted,

Dated: 5/13/04

By: Daniel G. Radler

Daniel G. Radler  
Registration No. 43,028  
Quarles & Brady LLP  
411 East Wisconsin Ave.  
Milwaukee, WI 53202  
(414) 277-5749



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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/765,373
Filing Date	January 27, 2004
First Named Inventor	Edward J. Gillette
Art Unit	
Examiner Name	
Attorney Docket Number	180022.00002

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 2,167,798	08-01-1939	Denneen et al.	
		US- 2,810,054	10-15-1957	Jones	
		US- 3,185,808	05-25-1965	Sorensen et al.	
		US- 3,236,993	02-22-1966	Jones	
		US- 3,446,495	05-27-1969	Pfaffman et al.	
		US- 3,777,097	12-04-1973	Budzinski	
		US- 3,891,474	06-24-1975	Grange	
		US- 4,026,732	05-31-1977	Dammel et al.	
		US- 4,251,704	02-17-1981	Masie et al.	
		US- 4,251,705	02-17-1981	Balzer	
		US- 4,639,279	01-27-1987	Chatterjee	
		US- 4,675,488	06-23-1987	Mucha et al.	
		US- 4,785,147	11-15-1988	Mucha et al.	
		US- 4,885,831	12-12-1989	Fett	
		US- 5,213,636	05-25-1993	Akers et al.	
		US- 5,221,513	06-22-1993	Ameteau et al.	
		US- 5,391,862	02-21-1995	Amateau et al.	
		US- 6,059,898	05-09-2000	Fisher et al.	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				

Examiner  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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